



# Nahar

## CAPITAL AND FINANCIAL SERVICES LTD.



(A NON-BANKING FINANCIAL COMPANY)

Regd. Office & Corporate Office : "Nahar Tower", 375, Industrial Area-A, Ludhiana -141 003 (INDIA)

Phones : 91-161-2600701 to 705, 5066330 to 335 Fax : 91-161-2222942, 2601956

Email secnsm@owmnahar.com Website : www.owmnahar.com

### STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company: Nahar Capital and Financial Services Ltd.			
Scrip Code: BSE : 532952		Quarter ended: 30.09.2011	
Scrip Code NSE : NAHARCAP			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of	As a % of total no. of shares
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of	As a % of total no. of shares
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
Warrants:-	No. of warrants	As a % of	As a % of total no. of shares
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
<b>Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>
<b>Total paid-up capital of the</b>	<b>Rs. 8,37,30,835</b>		

Category	Category shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage	As a percentage	Number of Shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	<b>Promoter and Promoter Group</b>							
-I	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	3	39075	39075	0.23	0.23	NIL	NIL
(b)	Central Government/ State Government(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(c)	Bodies Corporate	18	11742430	11742430	70.12	70.12	NIL	NIL
(d)	Financial Institutions/ Banks	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(e)	Any other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Sub-Total (A) (1)</b>	<b>21</b>	<b>11781505</b>	<b>11781505</b>	<b>70.35</b>	<b>70.35</b>	<b>NIL</b>	<b>NIL</b>

For Nahar Capital & Financial Services Ltd.

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-2	<b>Foreign</b>								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(b)	Bodies Corporate	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(c)	Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(d)	Any other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Sub-Total (A) (2)</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Total shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	21	11781505	11781505	70.35	70.35	NIL	NIL	NIL
(B)	<b>Public shareholding</b>								
-1	<b>Institutions</b>								
(a)	Mutual Funds/ UTI	10	5430	1600	0.03	0.03	NIL	NIL	NIL
(b)	Financial Institutions/ Banks	25	6448	4379	0.04	0.04	NIL	NIL	NIL
(c)	Central Government/ State Government(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(d)	Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(e)	Insurance Companies	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(f)	Foreign Institutional Investors	4	37979	37379	0.23	0.23	NIL	NIL	NIL
(g)	Foreign Venture Capital Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(h)	Any other (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Sub-Total (B) (1)</b>	39	49857	43358	0.30	0.30	NIL	NIL	NIL
-2	<b>Non-Institutions</b>						NIL	NIL	NIL
(a)	Bodies Corporate	350	568640	564005	3.40	3.40	NIL	NIL	NIL
(b)	Individuals –						NIL	NIL	NIL
	i. Individual shareholders holding nominal share capital up to Rs. 1 Lakh	15590	3824073	3253940	22.84	22.84	NIL	NIL	NIL
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	11	461969	461969	2.76	2.76	NIL	NIL	NIL
(C)	Any other								

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	1. N.R.I.	75	60123	59692	0.36	0.36	NIL	NIL
	2. DIRECTORS AND THEIR RELATIVES	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	3. TRUST	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	4. OCB	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Sub-Total (B) (2)</b>	<b>16026</b>	<b>4914805</b>	<b>4339606</b>	<b>29.35</b>	<b>29.35</b>	<b>NIL</b>	<b>NIL</b>
	<b>Total Public Shareholding (B) = (B) (1) + (B) (2)</b>	<b>16065</b>	<b>4964662</b>	<b>4382964</b>	<b>29.65</b>	<b>29.65</b>	<b>NIL</b>	<b>NIL</b>
	<b>TOTAL (A) + (B)</b>	<b>16086</b>	<b>16746167</b>	<b>16164469</b>	<b>100.00</b>	<b>100.00</b>	<b>NIL</b>	<b>NIL</b>
(C)	Shares held by Custodians and against	NIL	NIL	NIL	NIL	NIL	NIL	NIL
1	Promoter and Promoter Group	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	Public	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>GRAND TOTAL (A) + (B) + (C)</b>	<b>16086</b>	<b>16746167</b>	<b>16164469</b>	<b>100.00</b>	<b>100.00</b>	<b>NIL</b>	<b>NIL</b>

Note: Abhilash Oswal, Monica Oswal and Ruchika Oswal are the relatives of Directors Sh.J.L. Oswal, Sh. Dinesh Oswal and Sh. Kamal Oswal and belong to Promoter Category. Their shareholding has been taken in Promoter Group.

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I (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"													
Sr. No.	Name of the shareholder	Details of Shares held		Shares pledged or otherwise encumbered			Details of Warrants		Details of Convertible		Total Shares (Including) underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital		
		No. of Shares held	As % of grand total (A) + (B) + (C)	Number	As a percentage (VI) = (V) / (III) * 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of convertible securities of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	Ruchika Oswal	11555	0.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	11555	0.07
2	Abhilash Oswal	16000	0.10	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	16000	0.1
3	Monica Oswal	11520	0.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	11520	0.07
4	Abhilash Growth Fund (P) Limited	24750	0.15	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	24750	0.15
5	Atam Vallabh Financiers Ltd	56590	0.34	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	56590	0.34
6	Bermuda Insurance Brokers (P) Ltd.	20450	0.12	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	20450	0.12
7	J L Growth Fund Ltd	107516	0.64	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	107516	0.64
8	Kovalam Investment & Trading Co., Ltd	371527	2.22	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	371527	2.22
9	Ludhiana Holdings Ltd	58480	0.35	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	58480	0.35
10	Monica Growth Fund (P) Ltd	24080	0.14	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	24080	0.14
11	*Nagdevi Trading & Investment Co Ltd	641452	3.83	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	641452	3.83
12	Nahar Poly Films Ltd	6611332	39.48	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	6611332	39.48
13	Nahar Growth Fund (P) Ltd	182054	1.09	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	182054	1.09
14	Nahar Industrial Enterprises Ltd	1363221	8.14	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1363221	8.14
15	Neha Credit And Investment (P) Ltd	30200	0.18	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	30200	0.18
16	Oswal Woolen Mills Ltd.	1263693	7.54	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	1263693	7.54
17	Ruchika Growth Fund (P) Ltd	25050	0.15	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	25050	0.15
18	Shankheshwar Holding Co. Ltd	152425	0.91	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	152425	0.91
19	Vanaik Investors Ltd	179314	1.07	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	179314	1.07
20	Vardhman Investments Ltd	62640	0.37	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	62640	0.37
21	Nahar Spinning Mills Ltd.	567656	3.39	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	567656	3.39
	<b>TOTAL</b>	<b>11781505</b>	<b>70.35</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>11781505</b>	<b>70.35</b>

\*Note: Ogden Trading & Investment Co. (P) Ltd. has been merged with Nagdevi Trading & Investment Co Ltd vide Hon'ble Delhi High Court order

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(I) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares								
Sr No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above)	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a% total number of warrants of the same class	Number of Convertible Securities held	% w.r.t. total number of convertible securities of the same class	
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2								
TOTAL		NIL	NIL	NIL	NIL	NIL	NIL	NIL

(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above)	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a% total number of warrants of the same class	Number of Convertible Securities held	% w.r.t. total number of convertible securities of the same class	
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2								
TOTAL		NIL	NIL	NIL	NIL	NIL	NIL	NIL

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(I) (d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoters/Public)	Number of locked in shares	Locked in Shares as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in (a) above Statement as Para (I)
1				
2				
	TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at Para (I) (a) above
1				
2				
	TOTAL			

(II) (b) Statement showing holding of depository receipts (DRs) where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A) + (B) + (C) indicated in Statement at para (I) (a) above
1				
2				
	TOTAL			

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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer.

(Give description of voting rights for each class of security.

Class X:

Class Y:

Class Z:

Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	<b>Promoter and Promoter Group</b>						
-1	<b>Indian</b>						
(a)	Individuals/ Hindu Undivided Family						
(b)	Central Government/ State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/ Banks						
(e)	Any Other (specify)						
	<b>Sub-Total (A)(1)</b>						
-2	<b>Foreign</b>						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Any Other (specify)						
	<b>Sub-Total (A)(2)</b>						
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>						
(B)	<b>Public shareholding</b>						
-1	<b>Institutions</b>						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/ Banks						
(c)	Central Government/ State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	<b>Sub-Total (B)(1)</b>						
-2	<b>Non-institutions</b>						
(a)	Bodies Corporate						
(b)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh. ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Any Other (specify)						
	<b>Sub-Total (B)(2)</b>						
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>						
	<b>TOTAL (A)+(B)</b>						
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>						
	<b>GRAND TOTAL (A)+(B)+(C)</b>						

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